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CF-012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stewart Harris et al.
Application No. : 09/755,591 Confirmation No.: 3838
Filed : January 5, 2001
For : SYSTEMS AND METHODS FOR MONITORING
CREDIT OF TRADING COUNTERPARTIES
Group Art Unit : 2164
Examiner : To be Assigned

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

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OCT 03 2002

GROUP 3600

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- [] within three months of the application filing date;
[X] more than three months from the application
filing date but before the mailing date of the
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason a
fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this

Supplemental Information Disclosure Statement to Deposit
Account No. 06-1075. A duplicate copy of this letter is
transmitted herewith.

Respectfully submitted,

Jeff C. Aldridge

Jeffrey C. Aldridge
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

FOREIGN PATENTS

0 873 549 B1

EPO

(10/28/98)

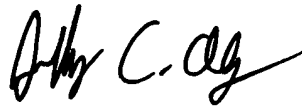
A copy of the aforementioned reference, which is
listed on the accompanying Form PTO-1449 (submitted in
duplicate), is enclosed herewith.

It is respectfully requested that this reference be:
(1) fully considered by the Patent and Trademark Office during

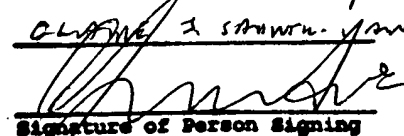
the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of the Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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